



General Assembly

January Session, 2017

***Raised Bill No. 7211***

LCO No. 4632



Referred to Committee on GOVERNMENT ADMINISTRATION  
AND ELECTIONS

Introduced by:  
(GAE)

***AN ACT CONCERNING DISCLOSURE OF COORDINATED AND  
INDEPENDENT SPENDING IN CAMPAIGN FINANCE.***

Be it enacted by the Senate and House of Representatives in General  
Assembly convened:

1 Section 1. Subdivision (3) of section 9-601 of the general statutes is  
2 repealed and the following is substituted in lieu thereof (*Effective from*  
3 *passage*):

4 (3) "Political committee" means (A) a committee organized by a  
5 business entity or organization, (B) persons other than individuals, or  
6 two or more individuals organized or acting jointly conducting their  
7 activities in or outside the state, (C) an exploratory committee, (D) a  
8 committee established by or on behalf of a slate of candidates in a  
9 primary for the office of justice of the peace, but does not mean a  
10 candidate committee or a party committee, (E) a legislative caucus  
11 committee, [or] (F) a legislative leadership committee, or (G) an  
12 independent expenditure political committee, as defined in section 2 of  
13 this act.

14       Sec. 2. (NEW) (*Effective from passage*) As used in chapters 155 and 157  
15 of the general statutes, "independent expenditure political committee"  
16 means a political committee that makes only (1) independent  
17 expenditures, as defined in section 9-601c of the general statutes, as  
18 amended by this act, and (2) contributions to other independent  
19 expenditure political committees.

20       Sec. 3. Subsection (a) of section 9-601a of the general statutes is  
21 repealed and the following is substituted in lieu thereof (*Effective from*  
22 *passage*):

23       (a) As used in this chapter and chapter 157, "contribution" means:

24       (1) Any gift, subscription, loan, advance, payment or deposit of  
25 money or anything of value, made (A) to promote, attack, support or  
26 oppose the success or defeat of any [candidate] person seeking (i) the  
27 nomination for election, or (ii) election, or (B) for the purpose of aiding  
28 or promoting (i) the success or defeat of any referendum question, or  
29 (ii) the success or defeat of any political party;

30       (2) A written contract, promise or agreement to make a contribution  
31 for any such purpose;

32       (3) The payment by any person, other than a candidate or treasurer,  
33 of compensation for the personal services of any other person which  
34 are rendered without charge to a committee or candidate for any such  
35 purpose;

36       (4) An expenditure that is not an independent expenditure; or

37       (5) Funds received by a committee which are transferred from  
38 another committee or other source for any such purpose.

39       Sec. 4. Subsections (a) and (b) of section 9-601b of the general  
40 statutes are repealed and the following is substituted in lieu thereof  
41 (*Effective from passage*):

42 (a) As used in this chapter and chapter 157, [the term] "expenditure"  
43 means:

44 (1) Any purchase, payment, distribution, loan, advance, deposit or  
45 gift of money or anything of value, when made (A) to promote, attack,  
46 support or oppose the success or defeat of any [candidate] person  
47 seeking (i) the nomination for election, or (ii) election, [of any person]  
48 or (B) for the purpose of aiding or promoting (i) the success or defeat  
49 of any referendum question, or (ii) the success or defeat of any political  
50 party;

51 (2) Any communication that (A) refers to one or more clearly  
52 identified candidates, and (B) (i) is broadcast (I) by radio, by television,  
53 other than on a public access channel, [or] by satellite communication  
54 or via the Internet, or (II) as a paid-for telephone communication, or (ii)  
55 appears in a newspaper [,] or magazine or on a billboard, or (iii) is sent  
56 by mail; or

57 (3) The transfer of funds by a committee to another committee.

58 (b) [The term "expenditure"] "Expenditure" does not mean:

59 (1) A loan of money, made in the ordinary course of business, by a  
60 state or national bank;

61 (2) A communication made by any corporation, organization or  
62 association solely to its members, owners, stockholders, executive or  
63 administrative personnel, or their families;

64 (3) Nonpartisan voter registration and get-out-the-vote campaigns  
65 by any corporation, organization or association aimed at its members,  
66 owners, stockholders, executive or administrative personnel, or their  
67 families;

68 (4) Uncompensated services provided by individuals volunteering  
69 their time on behalf of a party committee, political committee, slate  
70 committee or candidate committee, including any services provided

71 for the benefit of nonparticipating and participating candidates under  
72 the Citizens' Election Program and any unreimbursed travel expenses  
73 made by an individual who volunteers the individual's personal  
74 services to any such committee. For purposes of this subdivision, an  
75 individual is a volunteer if such individual is not receiving  
76 compensation for such services regardless of whether such individual  
77 received compensation in the past or may receive compensation for  
78 similar services that may be performed in the future;

79 (5) Any news story, commentary or editorial distributed through  
80 the facilities of any broadcasting station, newspaper, magazine or  
81 other periodical, unless such facilities are owned or controlled by any  
82 political party, committee or candidate;

83 (6) The use of real or personal property, a portion or all of the cost of  
84 invitations and the cost of food or beverages, voluntarily provided by  
85 an individual to a candidate, including a nonparticipating or  
86 participating candidate under the Citizens' Election Program, or to a  
87 party, political or slate committee, in rendering voluntary personal  
88 services at the individual's residential premises or a community room  
89 in the individual's residence facility, to the extent that the cumulative  
90 value of the invitations, food or beverages provided by an individual  
91 on behalf of any candidate or committee does not exceed four hundred  
92 dollars with respect to any single event or does not exceed eight  
93 hundred dollars for any such event hosted by two or more individuals,  
94 provided at least one such individual owns or resides at the residential  
95 premises, and further provided the cumulative value of the invitations,  
96 food or beverages provided by an individual on behalf of any such  
97 candidate or committee does not exceed eight hundred dollars with  
98 respect to a calendar year or single election, as the case may be;

99 (7) A communication described in subdivision (2) of subsection (a)  
100 of this section that includes speech or expression [made] (A) made  
101 prior to the ninety-day period preceding the date of a primary or an  
102 election at which the clearly identified candidate or candidates are

103 seeking nomination to public office or position, [that is made]  
104 including for the purpose of influencing any legislative or  
105 administrative action, as defined in section 1-91, or executive action,  
106 [or] (B) made during a legislative session for the purpose of  
107 influencing legislative action, or (C) that constitutes a candidate debate  
108 or that solely promotes any such debate and is made by or on behalf of  
109 the person sponsoring the debate, provided any such communication  
110 described in this subdivision shall not be made to promote, attack,  
111 support or oppose the success or defeat of any person seeking (i) the  
112 nomination for election, or (ii) election;

113 (8) An organization expenditure by a party committee, legislative  
114 caucus committee or legislative leadership committee;

115 (9) A commercial advertisement that refers to an owner, director or  
116 officer of a business entity who is also a candidate, [and that] which  
117 commercial advertisement had previously been broadcast or appeared  
118 when the owner, director or officer was not a candidate;

119 (10) A communication containing an endorsement on behalf of a  
120 candidate for nomination or election to the office of Governor,  
121 Lieutenant Governor, Secretary of the State, State Treasurer, State  
122 Comptroller, Attorney General, state senator or state representative,  
123 from a candidate for the office of Governor, Lieutenant Governor,  
124 Secretary of the State, State Treasurer, State Comptroller, Attorney  
125 General, state senator or state representative, shall not be an  
126 expenditure attributable to the endorsing candidate, if the candidate  
127 making the endorsement is unopposed at the time of the  
128 communication;

129 (11) A communication that is sent by mail to addresses in the district  
130 for which a candidate being endorsed by another candidate pursuant  
131 to the provisions of this subdivision is seeking nomination or election  
132 to the office of state senator or state representative, containing an  
133 endorsement on behalf of such candidate for such nomination or

134 election, from a candidate for the office of state senator or state  
135 representative, shall not be an expenditure attributable to the  
136 endorsing candidate, if the candidate making the endorsement is not  
137 seeking election to the office of state senator or state representative for  
138 a district that contains any geographical area shared by the district for  
139 the office to which the endorsed candidate is seeking nomination or  
140 election;

141 (12) Campaign training events provided to multiple individuals by  
142 a legislative caucus committee and any associated materials, provided  
143 the cumulative value of such events and materials does not exceed six  
144 thousand dollars in the aggregate for a calendar year;

145 (13) A lawful communication by any charitable organization which  
146 is a tax-exempt organization under Section 501(c)(3) of the Internal  
147 Revenue Code of 1986, or any subsequent corresponding internal  
148 revenue code of the United States, as from time to time amended;

149 (14) The use of offices, telephones, computers and similar  
150 equipment provided by a party committee, legislative caucus  
151 committee or legislative leadership committee that serve as  
152 headquarters for or are used by such party committee, legislative  
153 caucus committee or legislative leadership committee; or

154 (15) An expense or expenses incurred by a human being acting  
155 alone in an amount that is two hundred dollars or less, in the  
156 aggregate, that benefits a candidate for a single election.

157 Sec. 5. Section 9-601c of the general statutes is repealed and the  
158 following is substituted in lieu thereof (*Effective from passage*):

159 (a) (1) As used in this chapter and chapter 157, [the term]  
160 "independent expenditure" means an expenditure, as defined in  
161 section 9-601b, as amended by this act, that is made entirely without  
162 the consent, coordination [,] or consultation of [,] a candidate, [or agent  
163 of the candidate,] candidate committee, political committee or party

164 committee, or any agent of any such candidate or committee.

165 (2) For purposes of this section, a payment shall not be considered  
166 to be made by a person with the consent, coordination or consultation  
167 of, or at the request or suggestion of, a candidate or committee solely  
168 on the grounds that such person or the agent of such person engaged  
169 in discussion with the candidate, committee or any agent of the  
170 candidate or committee regarding such person's position on a  
171 legislative or policy matter, including urging the candidate or  
172 committee to adopt such person's position, provided any such  
173 discussion between such person or the agent of such person and the  
174 candidate, committee or any agent of the candidate or committee shall  
175 not regard the campaign advertising, message, strategy, policy,  
176 polling, fund-raising, campaign operations or allocation of resources of  
177 the candidate, committee or such person.

178 (b) As used in this section, (1) "candidate" includes any person who,  
179 during an election cycle, becomes a candidate later in such election  
180 cycle and benefits from any expenditure (A) made by a coordinated  
181 spender, or (B) that is not an independent expenditure, (2) "election  
182 cycle" means, with respect to an office to which a person seeks  
183 nomination or election, the period beginning the day after the previous  
184 regular election for such office and ending the day of the immediately  
185 following regular election for such office, and (3) "member of the  
186 family" means (A) the spouse of the candidate, (B) any sibling, parent,  
187 child, grandparent, grandchild, aunt or uncle of the candidate, (C) any  
188 sibling parent, child, grandparent, grandchild, aunt or uncle of the  
189 spouse of the candidate, or (D) the spouse of any child of any such  
190 individual described in subparagraph (B) or (C) of this subdivision.

191 (c) As used in this section, "coordinated spender" means, with  
192 respect to a candidate or committee:

193 (1) Any person directly or indirectly formed, controlled or  
194 established in an election cycle or the one immediately preceding by, at

195 the request or suggestion of or with the encouragement or approval of  
196 the candidate, committee or any agent of the candidate or committee;

197 (2) Except as otherwise provided in this subdivision, any person on  
198 whose behalf during an election cycle the candidate, committee or any  
199 agent of the candidate or committee solicits funds or engages in fund-  
200 raising activity, including by providing to such person the name of any  
201 potential donor or other list to be used by such person in engaging in  
202 fund-raising activity regardless of whether such person pays fair  
203 market value for any such name or list so provided. Such person shall  
204 not be considered a coordinated spender under this subdivision if any  
205 funds raised by the candidate, committee or any agent of the candidate  
206 or committee are (A) segregated from all other accounts controlled by  
207 such person, and (B) not used to make (i) independent expenditures  
208 that benefit the candidate or committee, or (ii) contributions or covered  
209 transfers to any other person who later in such election cycle makes  
210 independent expenditures, contributions or covered transfers that  
211 benefit the candidate or committee;

212 (3) Any person established, directed or managed by any other  
213 person who during an election cycle (A) served in such election cycle  
214 as a political, media or fund-raising advisor or consultant for the  
215 candidate, committee or any entity controlled by the candidate or  
216 committee, or (B) held in such election cycle a formal position with a  
217 title for the candidate or committee;

218 (4) Any person who is a member of the family of the candidate or  
219 who is established, directed or managed by any member of the family  
220 of the candidate; or

221 (5) Any person or any officer or agent of such person who has had  
222 more than incidental discussion with a member of the family of the  
223 candidate regarding campaign advertising, message, strategy, policy,  
224 polling, fund-raising, campaign operations or allocation of resources of  
225 the candidate, committee or such person.



226        (d) Any expenditure made by a coordinated spender, as described  
227        in subsection (c) of this section, shall be deemed to have been made  
228        with the consent, coordination or consultation of the candidate,  
229        committee or any agent of the candidate of committee.

230        [(b)] (e) When the State Elections Enforcement Commission  
231        evaluates an expenditure, other than an expenditure described in  
232        subsection (d) of this section, to determine whether such expenditure is  
233        an independent expenditure, there shall be a rebuttable presumption  
234        that the following expenditures are not independent expenditures:

235        (1) An expenditure made by a person [in cooperation, consultation  
236        or in concert with, at the request, suggestion or direction of, or]  
237        pursuant to a general or [particular] tacit understanding with (A) a  
238        candidate, candidate committee, political committee or party  
239        committee, or (B) a consultant or other agent acting on behalf of a  
240        candidate, candidate committee, political committee or party  
241        committee;

242        (2) An expenditure made by a person for the production,  
243        dissemination, distribution or publication, in whole or in substantial  
244        part, of any broadcast or any written, graphic or other form of political  
245        advertising or campaign communication prepared by (A) a candidate,  
246        candidate committee, political committee or party committee, or (B) a  
247        consultant or other agent acting on behalf of a candidate, candidate  
248        committee, political committee or party committee;

249        (3) An expenditure made by a person based on information about a  
250        candidate's, political committee's, or party committee's plans, projects  
251        or needs, provided by (A) a candidate, candidate committee, political  
252        committee or party committee, or (B) a consultant or other agent acting  
253        on behalf of a candidate, candidate committee, political committee or  
254        party committee, with the intent that such expenditure be made;

255        (4) An expenditure made by an individual who, [in the same]  
256        during an election cycle, is serving or has served in such election cycle

257 (A) as the campaign chairperson, treasurer or deputy treasurer of a  
258 candidate committee, political committee or party committee  
259 benefiting from such expenditure, or (B) in any other executive or  
260 policymaking position, including as a member, employee, fundraiser,  
261 consultant or other agent, of a candidate, candidate committee,  
262 political committee or party committee;

263 (5) An expenditure made by a person or an entity on or after  
264 January first in the year of an election in which a candidate is seeking  
265 public office that benefits such candidate when such person or entity  
266 has hired an individual as an employee or consultant and such  
267 individual was an employee of or consultant to such candidate, such  
268 candidate's candidate committee or such candidate's opponent's  
269 candidate committee during [any part of the eighteen-month period  
270 preceding such expenditure] an election cycle or the one immediately  
271 preceding;

272 (6) An expenditure made by a person for fundraising activities (A)  
273 for a candidate, candidate committee, political committee or party  
274 committee, or a consultant or other agent acting on behalf of a  
275 candidate, candidate committee, political committee or party  
276 committee, or (B) for the solicitation or receipt of contributions on  
277 behalf of a candidate, candidate committee, political committee or  
278 party committee, or a consultant or other agent acting on behalf of a  
279 candidate, candidate committee, political committee or party  
280 committee;

281 (7) An expenditure made by a person based on information about a  
282 candidate's campaign plans, projects or needs, that is directly or  
283 indirectly provided by a candidate, the candidate's candidate  
284 committee, a political committee or a party committee, or a consultant  
285 or other agent acting on behalf of such candidate, candidate  
286 committee, political committee or party committee, to the person  
287 making the expenditure or such person's agent, with an express or tacit  
288 understanding that such person is considering making the

289 expenditure;

290 (8) An expenditure made by a person for a communication that  
291 clearly identifies a candidate during an election campaign, if the  
292 person making the expenditure, or such person's agent, has informed  
293 the candidate who benefits from the expenditure, [that] such  
294 candidate's candidate committee, a political committee or a party  
295 committee, or a consultant or other agent acting on behalf of the  
296 benefiting candidate or candidate committee, political committee [,] or  
297 party committee, concerning the communication's contents, or of the  
298 intended audience, timing, location or mode or frequency of  
299 dissemination. [As used in] For purposes of this subdivision, a  
300 communication clearly identifies a candidate when that  
301 communication contains the name, nickname, initials, photograph or  
302 drawing of the candidate or an unambiguous reference to [that] such  
303 candidate, which includes, but is not limited to, a reference that can  
304 only mean [that] such candidate; [and]

305 (9) An expenditure made by a person or an entity for consultant or  
306 creative services, including, but not limited to, services related to  
307 communications strategy or design or campaign strategy or to engage  
308 a campaign-related vendor, to be used to promote or oppose a  
309 candidate's election to office if the provider of such services is  
310 providing or has provided consultant or creative services to such  
311 candidate, such candidate's candidate committee or an agent of such  
312 candidate committee, or to any opposing candidate's candidate  
313 committee or an agent of such opposing candidate's candidate  
314 committee after January first of the year in which the expenditure  
315 occurs. For purposes of this subdivision, communications strategy or  
316 design does not include the costs of printing or costs for the use of a  
317 medium for the purpose of communications. For purposes of this  
318 subdivision, campaign-related vendor includes, but is not limited to, a  
319 vendor that provides any of the following services: Polling, mail  
320 design, mail strategy, political strategy, general campaign advice or  
321 telephone banking; [,] and

322     (10) An expenditure made by a person directly or indirectly formed,  
323     controlled or established in an election cycle or the one immediately  
324     preceding by, at the request or suggestion of or with the  
325     encouragement of any other person deemed to be a coordinated  
326     spender or any agent of such coordinated spender, including with the  
327     express or tacit approval of any such coordinated spender or agent.

328     [(c) When the State Elections Enforcement Commission evaluates an  
329     expenditure to determine whether an expenditure by entity is an  
330     independent expenditure, the following shall not be presumed to  
331     constitute evidence of consent, coordination or consultation within the  
332     meaning of subsection (a) of this section: (1) Participation by a  
333     candidate or an agent of the candidate in an event sponsored by the  
334     entity, unless such event promotes the success of the candidate's  
335     candidacy or the defeat of the candidate's opponent, or unless the  
336     event is during the period that is forty-five days prior to the primary  
337     for which the candidate is seeking nomination for election or election  
338     to office; (2) membership of the candidate or agent of the candidate in  
339     the entity, unless the candidate or agent of the candidate holds an  
340     executive or policymaking position within the entity after the  
341     candidate becomes a candidate; or (3) financial support for, or  
342     solicitation or fundraising on behalf of the entity by a candidate or an  
343     agent of the candidate, unless the entity has made or obligated to make  
344     independent expenditures in support of such candidate in the election  
345     or primary for which the candidate is a candidate.]

346     [(d)] (f) When the State Elections Enforcement Commission  
347     evaluates an expenditure to determine whether such expenditure is an  
348     independent expenditure, the commission shall consider, as an  
349     effective rebuttal to the presumptions provided in subsection [(b)] (e)  
350     of this section, the establishment by the person making the  
351     expenditure of a firewall policy designed and implemented to prohibit  
352     the flow of information between (1) employees, consultants or other  
353     individuals providing services to the person paying for the  
354     expenditure, and (2) the candidate or agents of the candidate.

355 Sec. 6. Section 9-601d of the general statutes is repealed and the  
356 following is substituted in lieu thereof (*Effective from passage*):

357 (a) Any person, as defined in section 9-601, as amended by this act,  
358 may, unless otherwise restricted or prohibited by law, including, but  
359 not limited to, any provision of this chapter or chapter 157, make  
360 unlimited independent expenditures, as defined in section 9-601c, as  
361 amended by this act, and accept unlimited covered transfers, as  
362 defined in [said] section 9-601, as amended by this act. Except as  
363 provided [pursuant to] in this section, any such person who makes or  
364 obligates to make an independent expenditure or expenditures in  
365 excess of one thousand dollars, in the aggregate, shall file statements  
366 according to the same schedule and in the same manner as is required  
367 of a treasurer of a [candidate] political committee pursuant to section  
368 9-608, as amended by this act. Any such person, other than a  
369 committee, shall file with the proper authority as provided in section  
370 9-603, as amended by this act, (1) a long-form report and a short-form  
371 report pursuant to subsection (c) of this section for such independent  
372 expenditure or expenditures, and (2) a short-form report pursuant to  
373 subsection (d) of this section for each subsequent independent  
374 expenditure made or obligated to be made.

375 (b) Any person who makes or obligates to make an independent  
376 expenditure or expenditures in excess of one thousand dollars, in the  
377 aggregate, in an election or primary for the office of Governor,  
378 Lieutenant Governor, Secretary of the State, State Treasurer, State  
379 Comptroller, Attorney General, state senator or state representative [,  
380 which exceed one thousand dollars, in the aggregate, during a primary  
381 campaign or a general election campaign, as defined in section 9-700,  
382 shall file, electronically, a long-form and a short-form report of such  
383 independent expenditure or expenditures with the State Elections  
384 Enforcement Commission pursuant to subsections (c) and (d) of this  
385 section. The person that makes or obligates to make such independent  
386 expenditure or expenditures shall file such reports] during the period  
387 beginning July first in the year of a regular election or the day the

388 Governor issues writs of election pursuant to section 9-215 in the case  
389 of a special election for the office of state senator or state representative  
390 and ending the day following the primary or election for which such  
391 person made or obligated to make such independent expenditure or  
392 expenditures shall electronically file, in the case of a committee, a  
393 statement pursuant to section 9-608, as amended by this act, or, in the  
394 case of any person other than a committee, a long-form report and a  
395 short-form report pursuant to subsections (c) and (d) of this section not  
396 later than twenty-four hours after (1) making any such payment, or (2)  
397 obligating to make any such payment, with respect to the primary or  
398 election. [If any such person makes or incurs a subsequent  
399 independent expenditure, such person shall report such expenditure  
400 pursuant to subsection (d) of this section.] Such reports shall be filed  
401 under penalty of false statement. In the case of a special election for the  
402 office of state senator or state representative, if any person makes or  
403 obligates to make an independent expenditure or expenditures for  
404 such special election in excess of one thousand dollars, in the  
405 aggregate, prior to the day the Governor issues writs of election  
406 pursuant to section 9-215, such person shall file a statement not later  
407 than twenty-four hours after such writs of election are issued.

408 (c) The independent expenditure long-form report shall identify: (1)  
409 The name of the person making or obligating to make such  
410 independent expenditure or expenditures and, in the case of a person  
411 other than a human being, the name of its chief executive officer or  
412 equivalent; (2) the tax exempt status of such person, [if applicable]  
413 except that if such person files a report with the Federal Election  
414 Commission, the Internal Revenue Service or any similar out-of-state  
415 agency, such person shall also include a statement to that effect and  
416 identifying information under which any such filings are made; (3) the  
417 mailing address, and street address if different, of such person; (4) the  
418 principal business address of [the] such person, if different from either  
419 the mailing address or the street address; (5) the mailing address, street  
420 address if different, telephone number and electronic mail address of

421 the agent for service of process in this state of such person; (6) the date  
422 of the primary, [or] election or referendum for which [the] such person  
423 made or obligated to make such independent expenditure or  
424 expenditures; [were made or obligated to be made;] (7) (A) the name of  
425 any candidate who, or the text of any referendum question that, was  
426 the subject of [any] such independent expenditure or expenditures,  
427 [and whether the] (B) whether such person made or obligated to make  
428 such independent expenditure or expenditures [were] in support of or  
429 in opposition to such candidate or referendum question, and (C) any  
430 other information required under subsection (d) of this section for such  
431 independent expenditure or expenditures; and (8) the name, telephone  
432 number and electronic mail address for the individual filing such  
433 report. [Such] Each individual filing such report shall affirm [that the  
434 expenditure reported is an independent expenditure] under penalty of  
435 false statement that each expenditure so reported is an independent  
436 expenditure.

437 (d) As part of any filing made pursuant to subsection (c) of this  
438 section and for each subsequent independent expenditure made or  
439 obligated to be made by a person with respect to the primary, [or]  
440 election or referendum for which a long-form report pursuant to  
441 subsection (c) of this section has been filed on behalf of such person, an  
442 individual shall file [, electronically,] a short-form report for each such  
443 independent expenditure. [, not later than twenty-four hours after such  
444 person makes a payment for an independent expenditure or obligates  
445 to make such an independent expenditure.] Such short-form report  
446 shall identify: (1) The name of the person making or obligating to make  
447 such independent expenditure; (2) the amount of the independent  
448 expenditure; (3) whether the independent expenditure was in support  
449 of or in opposition to a candidate or referendum question and the  
450 name of such candidate or text of such referendum question; (4) a brief  
451 description of the expenditure made, including the type of  
452 communication, based on categories determined by the State Elections  
453 Enforcement Commission, and the allocation of such expenditure in

454 support of or in opposition to each such candidate or referendum  
455 question, if such expenditure was made in support of or in opposition  
456 to more than one candidate [; and] or referendum question; (5) the  
457 name, telephone number and electronic mail address for the individual  
458 filing such report; [. Such] and (6) any other information the State  
459 Elections Enforcement Commission may require to facilitate  
460 compliance with the provision of chapters 155 to 157, inclusive. Each  
461 individual filing such report shall affirm [that the expenditure reported  
462 is an independent expenditure] under penalty of false statement that  
463 each expenditure so reported is an independent expenditure.

464 (e) No person reporting an independent expenditure pursuant to  
465 the provisions of subsection (c) or (d) of this section shall be required  
466 to file a statement pursuant to section 9-608, as amended by this act,  
467 for such independent expenditure.

468 (f) (1) Except as provided in subdivision (2) of this subsection, as  
469 part of any statement filed pursuant to this section, if a person who  
470 makes or obligates to make an independent expenditure (A) has  
471 received a covered transfer during the twelve-month period prior to a  
472 primary, [or] election or referendum, as applicable to the reported  
473 independent expenditure, [for an office that a candidate described in  
474 subdivision (7) of subsection (c) of this section is seeking,] and (B) such  
475 independent expenditure is made or obligated to be made on or after  
476 the date that is one hundred eighty days prior to such primary, [or]  
477 election or referendum, such person shall disclose the source and the  
478 amount of any such covered transfer such person received that is in an  
479 amount that is five thousand dollars or more, in the aggregate, during  
480 the twelve-month period prior to such primary or election, as  
481 applicable to the reported independent expenditure.

482 (2) The provisions of subdivision (1) of this subsection shall not  
483 apply to any person who discloses the source and amount of a covered  
484 transfer described in subdivision (1) of this subsection as part of any  
485 report to the Federal Election Commission, [or] the Internal Revenue



486 Service or any similar out-of-state agency, provided such person  
487 includes a copy of or information sufficient to find, any such report as  
488 part of the [report] statement of each applicable independent  
489 expenditure filed pursuant to this section. If a source and amount of a  
490 covered transfer is not included as part of any such [report] statement,  
491 the maker of the independent expenditure shall disclose the source  
492 and amount of such covered transfer pursuant to subdivision (1) of  
493 this subsection, if applicable.

494 (g) (1) A person may, unless otherwise restricted or prohibited by  
495 law, including, but not limited to, any provision of this chapter or  
496 chapter 157, establish a dedicated independent expenditure account [,  
497 for the purpose of engaging in] that may be used to make independent  
498 expenditures, [that is] provided such account is segregated from all  
499 other accounts controlled by such person. Such dedicated independent  
500 expenditure account may receive covered transfers directly from  
501 persons other than the person establishing the dedicated account and  
502 may not receive transfers from another account controlled by the  
503 person establishing the dedicated account, except as provided in  
504 subdivision (2) of this subsection. If an independent expenditure is  
505 made from such segregated account, any report required pursuant to  
506 this section or disclaimer required pursuant to section 9-621, as  
507 amended by this act, [may include only] shall include at least those  
508 persons who made covered transfers directly to the dedicated  
509 independent expenditure account.

510 (2) If a person who has made a covered transfer to another account  
511 controlled by the person establishing a dedicated independent  
512 expenditure account requests that such covered transfer be used for  
513 the purposes of making an independent expenditure from the  
514 dedicated independent expenditure account, the amount of such  
515 covered transfer may be transferred to the dedicated independent  
516 expenditure account and shall be treated as a covered transfer directly  
517 to the dedicated independent expenditure account.

518 (h) Any person may file a complaint with the commission upon the  
519 belief that (1) any such independent expenditure report or statement is  
520 false, or (2) any person who is required to file an independent  
521 expenditure report under this [subsection] section has failed to do so.  
522 The commission shall make a prompt determination on such a  
523 complaint.

524 (i) (1) If a person fails to file a report in accordance with the  
525 provisions of this section for [an] any independent expenditure or  
526 expenditures made or obligated to be made more than ninety days  
527 before the day of a primary, [or] election or referendum, the person  
528 shall be subject to a civil penalty, imposed by the State Elections  
529 Enforcement Commission, of not more than ten thousand dollars. If a  
530 person fails to file a report required in accordance with the provisions  
531 of this section for [an] any independent expenditure or expenditures  
532 made or obligated to be made ninety days or less before the day of a  
533 primary, [or] election or referendum, such person shall be subject to a  
534 civil penalty, imposed by the State Elections Enforcement Commission,  
535 of not more than twenty thousand dollars or twice the amount of any  
536 such independent expenditure failed to be reported, whichever is  
537 greater.

538 (2) [If] Notwithstanding subsection (a) of section 9-623, if the State  
539 Elections Enforcement Commission finds that any such failure is  
540 knowing and wilful, the person responsible for the failure shall [also  
541 be fined] be subject to an additional civil penalty, imposed by the  
542 commission, of not more than fifty thousand dollars or ten times the  
543 amount of any such independent expenditure failed to be reported,  
544 whichever is greater, and the commission may refer the matter to the  
545 office of the Chief State's Attorney.

546 (3) If the State Elections Enforcement Commission finds that a  
547 person is subject to a civil penalty under this subsection, (A) in the case  
548 of a committee, (i) the chairman, and (ii) any officer, or (B) in the case  
549 of a person other than a committee, (i) the chief executive officer or

550 equivalent, (ii) any officer, and (iii) any manager who had direct,  
551 extensive and substantive decision-making authority over the  
552 independent expenditures or expenditures made or obligated to be  
553 made by such person shall be jointly and severally liable for paying  
554 any portion of the amount of any such penalty imposed that remains  
555 unpaid by such person one year after the date on which the  
556 commission imposed such civil penalty or the date of the final  
557 judgment following any judicial review of the commission's action,  
558 whichever is later.

559       Sec. 7. Subsections (a) and (b) of section 9-603 of the general statutes  
560 are repealed and the following is substituted in lieu thereof (*Effective*  
561 *from passage*):

562       (a) Statements filed by (1) party committees, (2) political committees  
563 formed to aid or promote the success or defeat of a referendum  
564 question proposing (A) a constitutional convention, (B) constitutional  
565 amendment, or (C) revision of the Constitution, (3) individual  
566 lobbyists, [and] (4) those political committees and candidate  
567 committees formed to aid or promote the success or defeat of any  
568 candidate for the office of Governor, Lieutenant Governor, Secretary of  
569 the State, State Treasurer, State Comptroller, Attorney General, judge  
570 of probate, [and members of the General Assembly] state senators and  
571 state representatives, and (5) any person making or obligating to make  
572 an independent expenditure or expenditures in excess of one thousand  
573 dollars, in the aggregate, for any such referendum question or  
574 candidate pursuant to section 9-601d, as amended by this act, shall be  
575 filed with the State Elections Enforcement Commission. A political  
576 committee formed for a slate of candidates in a primary for the office  
577 of justice of the peace shall file statements with the town clerk of the  
578 municipality in which the primary is to be held.

579       (b) Statements filed by (1) political committees formed solely to aid  
580 or promote the success or defeat of a referendum question to be voted  
581 upon by the electors of a single municipality, [and] (2) those political

582 committees or candidate committees formed to aid or promote the  
583 success or defeat of any candidate for (A) public office, other than  
584 those enumerated in subsection (a) of this section, or (B) the position of  
585 town committee member, and (3) any person making or obligating to  
586 make an independent expenditure or expenditures in excess of one  
587 thousand dollars, in the aggregate, for any such referendum question  
588 or candidate pursuant to section 9-601d, as amended by this act, shall  
589 be filed only with the town clerk of the municipality in which the  
590 election or referendum is to be held. Each unsalaried town clerk shall  
591 be entitled to receive ten cents from the town for the filing of each such  
592 statement.

593 Sec. 8. Subsections (a) and (b) of section 9-605 of the general statutes  
594 are repealed and the following is substituted in lieu thereof (*Effective*  
595 *from passage*):

596 (a) [The] Except as provided in subsection (d) of this section, the  
597 chairperson of each political committee shall be a human being with  
598 direct, extensive and substantive decision-making authority over such  
599 committee's activities, shall designate a treasurer and may designate a  
600 deputy treasurer. The treasurer and any deputy treasurer so  
601 designated shall sign a statement accepting the designation. The  
602 chairperson of each political committee shall file a registration  
603 statement described in subsection (b) of this section along with the  
604 statement signed by the designated treasurer and deputy treasurer  
605 with the proper authority, [within ten days after its organization] not  
606 more than ten days after receiving contributions, or making or  
607 incurring expenditures, in excess of one thousand dollars, in the  
608 aggregate, provided that the chairperson of any political committee  
609 organized [within] less than ten days prior to any primary, election or  
610 referendum in connection with which it intends to [make] receive any  
611 contributions or make or incur any expenditures, shall immediately  
612 file a registration statement.

613 (b) The registration statement shall include: (1) The name and

614 address of the committee; (2) a statement of the purpose of the  
615 committee; (3) the name and address of its treasurer, and deputy  
616 treasurer if applicable; (4) the name, address and position of its  
617 [chairman] chairperson, and other principal officers if applicable; (5)  
618 the name and address of the depository institution for its funds; (6) the  
619 name of each person, other than an individual, that is a member of the  
620 committee; (7) the name and party affiliation of each candidate [whom  
621 the committee is supporting] supported by the committee and the  
622 office or position sought by each such candidate; (8) if the committee is  
623 supporting the entire ticket of any party, a statement to that effect and  
624 the name of [the] such party; (9) if the committee is supporting or  
625 opposing any referendum question, a brief statement identifying the  
626 substance of [the] such question; (10) if the committee is established or  
627 controlled by a [business entity or organization] person or any agent of  
628 such person, the name of [the entity or organization] such person and,  
629 if the committee is established or controlled by a person other than a  
630 human being, the name of its chief executive officer or equivalent; (11)  
631 if the committee is established by an organization, a statement of  
632 whether it will receive its funds from the organization's treasury or  
633 from voluntary contributions; (12) if the committee or a person  
634 establishing such committee files reports with the Federal Elections  
635 Commission, the Internal Revenue Service or any similar out-of-state  
636 agency, a statement to that effect including the name of the agency and  
637 identifying information; (13) a statement indicating whether the  
638 committee is established for a single primary, election or referendum  
639 or for ongoing political activities; (14) if the committee is established or  
640 controlled by a lobbyist, a statement to that effect and the name of the  
641 lobbyist; (15) the name and address of the person making the initial  
642 contribution or disbursement, if any, to the committee; and (16) any  
643 information that the State Elections Enforcement Commission  
644 [requires] may require to facilitate compliance with the provisions of  
645 [this chapter or chapter 157] chapters 155 to 157, inclusive. If no such  
646 initial contribution or disbursement, as described in subdivision (15) of  
647 this subsection, has been made at the time of the filing of such

648 statement, the treasurer of the committee shall, not later than forty-  
649 eight hours after receipt of such contribution or disbursement, file a  
650 report with the State Elections Enforcement Commission. The report  
651 shall be in the same form as statements filed under section 9-608, as  
652 amended by this act.

653 Sec. 9. Subdivision (1) of subsection (g) of section 9-607 of the  
654 general statutes is repealed and the following is substituted in lieu  
655 thereof (*Effective from passage*):

656 (g) (1) As used in this subsection, (A) "the lawful purposes of the  
657 committee" means: (i) For a candidate committee or exploratory  
658 committee, the promoting of the nomination or election of the  
659 candidate who established the committee, except that after a political  
660 party nominates candidates for election to the offices of Governor and  
661 Lieutenant Governor, whose names shall be so placed on the ballot in  
662 the election that an elector will cast a single vote for both candidates,  
663 as prescribed in section 9-181, a candidate committee established by  
664 either such candidate may also promote the election of the other such  
665 candidate; (ii) for a political committee, other than an independent  
666 expenditure political committee described in subparagraph (A)(iv) of  
667 this subdivision, the promoting of (I) a political party, including party  
668 building activities, (II) the success or defeat of candidates for  
669 nomination [and] or election to public office or position subject to the  
670 requirements of this chapter, or (III) the success or defeat of  
671 referendum questions, provided a political committee formed for a  
672 single referendum question shall not promote the success or defeat of  
673 any candidate, and provided further a legislative leadership committee  
674 or a legislative caucus committee may expend funds to defray costs for  
675 conducting legislative or constituency-related business which are not  
676 reimbursed or paid by the state; [and] (iii) for a party committee, the  
677 promoting of the party, party building activities, the candidates of the  
678 party and continuing operating costs of the party; and (iv) for an  
679 independent expenditure political committee, the promoting of (I) a  
680 political party, (II) the success or defeat of candidates for nomination

681 or election to public office or position subject to the requirements of  
682 this chapter, or (III) the success or defeat of referendum questions,  
683 provided an independent expenditure political committee shall act  
684 entirely independently of a candidate, candidate committee, party  
685 committee or political committee that is not an independent  
686 expenditure political committee, or any agent of such candidate or  
687 committee, and (B) "immediate family" means a spouse or dependent  
688 child of a candidate who resides in the candidate's household.

689       Sec. 10. Subdivision (1) of subsection (a) of section 9-608 of the  
690 general statutes is repealed and the following is substituted in lieu  
691 thereof (*Effective from passage*):

692       (a) (1) Each treasurer of a committee, other than a state central  
693 committee, shall file a statement, sworn under penalty of false  
694 statement with the proper authority in accordance with the provisions  
695 of section 9-603, as amended by this act, (A) on the tenth calendar day  
696 in the months of January, April, July and October, provided, if such  
697 tenth calendar day is a Saturday, Sunday or legal holiday, the  
698 statement shall be filed on the next business day, except that in the  
699 case of a candidate or exploratory committee established for an office  
700 to be elected at a special election, statements pursuant to this  
701 subparagraph shall not be required, (B) on the seventh day preceding  
702 each regular state election, except that (i) in the case of a candidate or  
703 exploratory committee established for an office to be elected at a  
704 municipal election, the statement shall be filed on the seventh day  
705 preceding a regular municipal election in lieu of such date, except if  
706 the candidate's name is not eligible to appear on the ballot, in which  
707 case such statement shall not be required, (ii) in the case of a town  
708 committee, the statement shall be filed on the seventh day preceding  
709 each municipal election in addition to such date, (iii) in the case of a  
710 candidate committee in a state election, [that is required to file any  
711 supplemental campaign finance statements pursuant to subdivisions  
712 (1) and (2) of subsection (a) of section 9-712, such] the supplemental  
713 campaign finance statements required pursuant to subsection (a) of

714 section 9-712, as amended by this act, shall satisfy the filing  
715 requirement under this subdivision, and (iv) in the case of a candidate  
716 committee established by a candidate whose name is not eligible to  
717 appear on the ballot, such statement shall not be required, and (C) if  
718 the committee has made or received a contribution or expenditure in  
719 connection with any other election, a primary or a referendum, on the  
720 seventh day preceding the election, primary or referendum, except that  
721 in the case of a candidate committee in a state primary, [that is  
722 required to file statements pursuant to subdivisions (1) and (2) of  
723 subsection (a) of section 9-712, such] the statements required pursuant  
724 to subsection (a) of section 9-712, as amended by this act, shall satisfy  
725 the filing requirement under this subdivision. The statement shall be  
726 complete as of eleven fifty-nine o'clock p.m. of the last day of the  
727 month preceding the month in which the statement is required to be  
728 filed, except that for the statement required to be filed on the seventh  
729 day preceding the election, primary or referendum, the statement shall  
730 be complete as of eleven fifty-nine o'clock p.m. of the second day  
731 immediately preceding the required filing day. The statement shall  
732 cover a period to begin with the first day not included in the last filed  
733 statement. In the case of a candidate committee, the statement required  
734 to be filed in January shall be in lieu of the statement formerly required  
735 to be filed within forty-five days following an election.

736 Sec. 11. Subsection (c) of section 9-608 of the general statutes is  
737 repealed and the following is substituted in lieu thereof (*Effective from*  
738 *passage*):

739 (c) (1) Each statement filed under subsection (a), (e) or (f) of this  
740 section shall include, but not be limited to: (A) An itemized accounting  
741 of each contribution, if any, including the full name and complete  
742 address of each contributor and the amount of the contribution. For an  
743 independent expenditure political committee, if any contributor to  
744 such independent expenditure political committee is a recipient of a  
745 covered transfer or transfers amounting to twenty-five thousand  
746 dollars or more, in the aggregate, per calendar year, such statement



747 shall include the names of the persons who made the top five largest  
748 aggregate covered transfers to such recipient during the twelve-month  
749 period immediately preceding a primary, election or referendum, as  
750 applicable; (B) an itemized accounting of each expenditure, if any,  
751 including the full name and complete address of each payee, including  
752 secondary payees whenever the primary or principal payee is known  
753 to include charges which the primary payee has already paid or will  
754 pay directly to another person, vendor or entity, the amount and the  
755 purpose of the expenditure, the candidate supported or opposed by  
756 the expenditure, whether the expenditure is made independently of  
757 the candidate supported or is an in-kind contribution to the candidate,  
758 and a statement of the balance on hand or deficit, as the case may be;  
759 (C) an itemized accounting of each expense incurred but not paid,  
760 provided if the expense is incurred by use of a credit card, the  
761 accounting shall include secondary payees, and the amount owed to  
762 each such payee; (D) the name and address of any person who is the  
763 guarantor of a loan to, or the cosigner of a note with, the candidate on  
764 whose behalf the committee was formed, or the treasurer in the case of  
765 a party committee or a political committee or who has advanced a  
766 security deposit to a telephone company, as defined in section 16-1, for  
767 telecommunications service for a committee; (E) for each business  
768 entity or person purchasing advertising space in a program for a fund-  
769 raising affair or on signs at a fund-raising affair, the name and address  
770 of the business entity or the name and address of the person, and the  
771 amount and aggregate amounts of such purchases; (F) for each  
772 individual who contributes in excess of one hundred dollars but not  
773 more than one thousand dollars, in the aggregate, to the extent known,  
774 the principal occupation of such individual and the name of the  
775 individual's employer, if any; (G) for each individual who contributes  
776 in excess of one thousand dollars in the aggregate, the principal  
777 occupation of such individual and the name of the individual's  
778 employer, if any; (H) for each itemized contribution made by a  
779 lobbyist, the spouse of a lobbyist or any dependent child of a lobbyist  
780 who resides in the lobbyist's household, a statement to that effect; and

781 (I) for each individual who contributes in excess of four hundred  
782 dollars in the aggregate to or for the benefit of any candidate's  
783 campaign for nomination at a primary or election to the office of chief  
784 executive officer or a slate or town committee financing the  
785 nomination or election or a candidate for chief executive officer of a  
786 town, city or borough, a statement indicating whether the individual  
787 or a business with which he is associated has a contract with said  
788 municipality that is valued at more than five thousand dollars. Each  
789 treasurer shall include in such statement (i) an itemized accounting of  
790 the receipts and expenditures relative to any testimonial affair held  
791 under the provisions of section 9-609 or any other fund-raising affair,  
792 which is referred to in subsection (b) of section 9-601a, and (ii) the date,  
793 location and a description of the affair, except that a treasurer shall not  
794 be required to include the name of any individual who has purchased  
795 items at a fund-raising affair or food at a town fair, county fair or  
796 similar mass gathering, if the cumulative value of items purchased by  
797 such individual does not exceed one hundred dollars, or the name of  
798 any individual who has donated food or beverages for a meeting. A  
799 treasurer shall not be required to report or retain any receipts or  
800 expenditures related to any de minimis donations described in  
801 subdivision (17) of subsection (b) of section 9-601a.

802 (2) Each contributor described in subparagraph (F), (G), (H) or (I) of  
803 subdivision (1) of this subsection shall, at the time the contributor  
804 makes such a contribution, provide the information that the treasurer  
805 is required to include under said subparagraph in the statement filed  
806 under subsection (a), (e) or (f) of this section. Notwithstanding any  
807 provision of subdivision (2) of section 9-7b, any contributor described  
808 in subparagraph (F) of subdivision (1) of this subsection who does not  
809 provide such information at the time the contributor makes such a  
810 contribution and any treasurer shall not be subject to the provisions of  
811 subdivision (2) of section 9-7b. If a treasurer receives a contribution  
812 from an individual which separately, or in the aggregate, is in excess of  
813 one thousand dollars and the contributor has not provided the

814 information required by said subparagraph (G) or if a treasurer  
815 receives a contribution from an individual to or for the benefit of any  
816 candidate's campaign for nomination at a primary or election to the  
817 office of chief executive officer of a town, city or borough, which  
818 separately, or in the aggregate, is in excess of four hundred dollars and  
819 the contributor has not provided the information required by said  
820 subparagraph (I), the treasurer: (i) Not later than three business days  
821 after receiving the contribution, shall send a request for such  
822 information to the contributor by certified mail, return receipt  
823 requested; (ii) shall not deposit the contribution until the treasurer  
824 obtains such information from the contributor, notwithstanding the  
825 provisions of section 9-606; and (iii) shall return the contribution to the  
826 contributor if the contributor does not provide the required  
827 information not later than fourteen days after the treasurer's written  
828 request or the end of the reporting period in which the contribution  
829 was received, whichever is later. Any failure of a contributor to  
830 provide the information which the treasurer is required to include  
831 under said subparagraph (F) or (H), which results in noncompliance  
832 by the treasurer with the provisions of said subparagraph (F) or (H),  
833 shall be a complete defense to any action against the treasurer for  
834 failure to disclose such information.

835 (3) In addition to the requirements of subdivision (2) of this  
836 subsection, each contributor who makes a contribution to a candidate  
837 or exploratory committee for Governor, Lieutenant Governor,  
838 Attorney General, State Comptroller, Secretary of the State, State  
839 Treasurer, state senator or state representative, any political committee  
840 authorized to make contributions to such candidates or committees,  
841 and any party committee that separately, or in the aggregate, exceeds  
842 fifty dollars shall provide with the contribution: (A) The name of the  
843 contributor's employer, if any; (B) the contributor's status as a  
844 communicator lobbyist, as defined in section 1-91, a member of the  
845 immediate family of a communicator lobbyist, a state contractor, a  
846 prospective state contractor or a principal of a state contractor or

847 prospective state contractor, as defined in section 9-612, as amended by  
848 this act; and (C) a certification that the contributor is not prohibited  
849 from making a contribution to such candidate or committee. The State  
850 Elections Enforcement Commission shall prepare a sample form for  
851 such certification by the contributor and shall make it available to  
852 treasurers and contributors. Such sample form shall include an  
853 explanation of the terms "communicator lobbyist", "principal of a state  
854 contractor or prospective state contractor", "immediate family", "state  
855 contractor" and "prospective state contractor". The information on such  
856 sample form shall be included in any written solicitation conducted by  
857 any such committee. If a treasurer receives such a contribution and the  
858 contributor has not provided such certification, the treasurer shall: (i)  
859 Not later than three business days after receiving the contribution,  
860 send a request for the certification to the contributor by certified mail,  
861 return receipt requested; (ii) not deposit the contribution until the  
862 treasurer obtains the certification from the contributor,  
863 notwithstanding the provisions of section 9-606; and (iii) return the  
864 contribution to the contributor if the contributor does not provide the  
865 certification not later than fourteen days after the treasurer's written  
866 request or at the end of the reporting period in which the contribution  
867 was received, whichever is later. No treasurer shall be required to  
868 obtain and keep more than one certification from each contributor,  
869 unless information certified to by the contributor, other than the  
870 amount contributed, changes. If a treasurer deposits a contribution  
871 based on a certification that is later determined to be false, the  
872 treasurer shall have a complete defense to any action, including, but  
873 not limited to, any complaint investigated by the State Elections  
874 Enforcement Commission or any other investigation initiated by [said]  
875 the commission, against such treasurer for the receipt of such  
876 contribution.

877 (4) In addition to the requirements of subdivision (2) of this  
878 subsection, each contributor who is the recipient of any covered  
879 transfer and who makes a contribution to an independent expenditure

880 political committee that separately, or in the aggregate, exceeds  
881 twenty-five thousand dollars per calendar year shall provide with the  
882 contribution a statement signed under penalty of false statement,  
883 which certification shall include: (A) If the contributor is a human  
884 being, the name of the contributor's employer or employers, if any; (B)  
885 the contributor's status as a client lobbyist or communicator lobbyist,  
886 as defined in section 1-91, or a member of the immediate family of a  
887 communicator lobbyist; (C) a certification that the contributor is not  
888 prohibited from making a contribution to the independent expenditure  
889 political committee; and (D) if the contributor is not a human being,  
890 the names of the five persons who made the top five largest aggregate  
891 covered transfers to such recipient during the twelve-month period  
892 immediately preceding a primary, election or referendum, as  
893 applicable. The State Elections Enforcement Commission shall prepare  
894 a sample form for such certification by the contributor and shall make  
895 it available to treasurers and contributors. Such sample form shall  
896 include an explanation of the term "covered transfer". The information  
897 on such sample form shall be included in any written solicitation  
898 conducted by such independent expenditure political committee. If a  
899 treasurer receives a contribution and the contributor has not provided  
900 such certification, the treasurer shall: (i) Not later than three business  
901 days after receiving the contribution, send a request for the  
902 certification to the contributor by certified mail, return receipt  
903 requested; (ii) not deposit the contribution until the treasurer obtains  
904 the certification from the contributor, notwithstanding the provisions  
905 of section 9-606; and (iii) return the contribution to the contributor if  
906 the contributor does not provide the certification not later than  
907 fourteen days after the treasurer's written request or at the end of the  
908 reporting period in which the contribution was received, whichever is  
909 later. If a treasurer deposits a contribution based on a certification  
910 signed under penalty of false statement that is later determined to be  
911 false, the treasurer shall have a complete defense to any action,  
912 including, but not limited to, any complaint investigated by the State  
913 Elections Enforcement Commission or any other investigation initiated

914 by the commission, against such treasurer for the receipt of such  
915 contribution.

916     [(4)] (5) Contributions from a single individual to a treasurer in the  
917 aggregate totaling fifty dollars or less need not be individually  
918 identified in the statement, but a sum representing the total amount of  
919 all such contributions made by all such individuals during the period  
920 to be covered by such statement shall be a separate entry, identified  
921 only by the words "total contributions from small contributors".

922     [(5)] (6) Each statement filed by the treasurer of a party committee, a  
923 legislative caucus committee or a legislative leadership committee shall  
924 include an itemized accounting of each organization expenditure made  
925 by the committee. Concomitant with the filing of any such statement  
926 containing an accounting of an organization expenditure made by the  
927 committee for the benefit of any candidate for the office of state  
928 senator, state representative, Governor, Lieutenant Governor, Attorney  
929 General, Secretary of the State, State Comptroller or State Treasurer  
930 such treasurer shall provide notice of the organization expenditure to  
931 the candidate committee of such candidate.

932     [(6)] (7) The commission shall post a link on the home page of the  
933 commission's Internet web site to a listing of all organizational  
934 expenditures reported by a party, legislative leadership or caucus  
935 committee under subdivision [(5)] (6) of this subsection. Such  
936 information shall include reported information on the committee  
937 making the expenditure, the committee receiving the expenditure and  
938 the date and purpose for the expenditure.

939     [(7)] (8) Statements filed in accordance with this section shall remain  
940 public records of the state for five years from the date such statements  
941 are filed.

942     Sec. 12. Subparagraph (C) of subdivision (1) of subsection (e) of  
943 section 9-608 of the general statutes is repealed and the following is  
944 substituted in lieu thereof (*Effective from passage*):

945 (C) (i) Each political committee formed solely to aid or promote the  
 946 success or defeat of any referendum question, which does not receive  
 947 contributions from a business entity or an organization, shall distribute  
 948 its surplus to a party committee, to a political committee organized for  
 949 ongoing political activities, to a national committee of a political party,  
 950 to all contributors to the committee on a prorated basis of contribution,  
 951 to state or municipal governments or agencies or to any organization  
 952 which is a tax-exempt organization under Section 501(c)(3) of the  
 953 Internal Revenue Code of 1986, or any subsequent corresponding  
 954 internal revenue code of the United States, as from time to time  
 955 amended. (ii) Each political committee formed solely to aid or promote  
 956 the success or defeat of any referendum question, which receives  
 957 contributions from a business entity or an organization, and each  
 958 independent expenditure political committee other than an  
 959 independent expenditure political committee formed for ongoing  
 960 political activities, shall distribute its surplus to all contributors to the  
 961 committee on a prorated basis of contribution, to state or municipal  
 962 governments or agencies, or to any organization which is tax-exempt  
 963 under said provisions of the Internal Revenue Code. Notwithstanding  
 964 the provisions of this subsection, a committee formed for a single  
 965 referendum shall not be required to expend its surplus not later than  
 966 ninety days after the referendum and may continue in existence if a  
 967 substantially similar referendum question on the same issue will be  
 968 submitted to the electorate within six months after the first  
 969 referendum. If two or more substantially similar referenda on the same  
 970 issue are submitted to the electorate, each no more than six months  
 971 apart, the committee shall expend such surplus within ninety days  
 972 following the date of the last such referendum;

973 Sec. 13. Subsections (b) to (e), inclusive, of section 9-611 of the  
 974 general statutes are repealed and the following is substituted in lieu  
 975 thereof (*Effective from passage*):

976 (b) (1) No individual shall make a contribution or contributions to,  
 977 or for the benefit of, an exploratory committee, in excess of three

978 hundred seventy-five dollars, if the candidate establishing the  
979 exploratory committee certifies on the statement of organization for  
980 the exploratory committee pursuant to subsection (c) of section 9-604  
981 that the candidate will not be a candidate for the office of state  
982 representative. No individual shall make a contribution or  
983 contributions to, or for the benefit of, any exploratory committee, in  
984 excess of two hundred fifty dollars, if the candidate establishing the  
985 exploratory committee does not so certify.

986 (2) No individual shall make a contribution or contributions to, or  
987 for the benefit of, a political committee formed by a slate of candidates  
988 in a primary for the office of justice of the peace, in excess of two  
989 hundred fifty dollars.

990 [(c) No individual shall make contributions to such candidates or  
991 committees which in the aggregate exceed thirty thousand dollars for  
992 any single election and primary preliminary to such election.]

993 [(d)] (c) No individual shall make a contribution to any candidate or  
994 committee, other than a contribution in kind, in excess of one hundred  
995 dollars except by personal check or credit card of that individual.

996 [(e)] (d) No individual who is less than eighteen years of age shall  
997 make a contribution or contributions, in excess of thirty dollars to, for  
998 the benefit of, or pursuant to the authorization or request of: (1) A  
999 candidate or a committee supporting or opposing any candidate's  
1000 campaign for nomination at a primary to any office; (2) a candidate or  
1001 a committee supporting or opposing any candidate's campaign for  
1002 election to any office; (3) an exploratory committee; (4) any other  
1003 political committee in any calendar year; or (5) a party committee in  
1004 any calendar year. Notwithstanding any provision of subdivision (2) of  
1005 section 9-7b, any individual who is less than eighteen years of age who  
1006 violates any provision of this subsection shall not be subject to the  
1007 provisions of subdivision (2) of section 9-7b.

1008 Sec. 14. Subsections (a) and (b) of section 9-612 of the general



1009 statutes are repealed and the following is substituted in lieu thereof  
1010 (*Effective from passage*):

1011 (a) No individual shall make a contribution or contributions in any  
1012 one calendar year in excess of ten thousand dollars to the state central  
1013 committee of any party, or for the benefit of such committee pursuant  
1014 to its authorization or request; or two thousand dollars to a town  
1015 committee of any political party, or for the benefit of such committee  
1016 pursuant to its authorization or request; or two thousand dollars to a  
1017 legislative caucus committee or legislative leadership committee; [ ] or  
1018 one thousand dollars to any other political committee other than (1) a  
1019 political committee formed solely to aid or promote the success or  
1020 defeat of a referendum question, (2) an exploratory committee, (3) a  
1021 political committee established by an organization, or for the benefit of  
1022 such committee pursuant to its authorization or request, [or] (4) a  
1023 political committee formed by a slate of candidates in a primary for the  
1024 office of justice of the peace of the same town, or (5) an independent  
1025 expenditure political committee. Unless otherwise restricted or  
1026 prohibited by law, an individual may make unlimited contributions to  
1027 an independent expenditure political committee.

1028 (b) No individual shall make a contribution to a political committee  
1029 established by an organization which receives its funds from the  
1030 organization's treasury. With respect to a political committee  
1031 established by an organization which has complied with the provisions  
1032 of subsection (b) or (c) of section 9-614, as amended by this act, and has  
1033 elected to receive contributions, no individual other than a member of  
1034 the organization may make contributions to the committee, in which  
1035 case the individual may contribute not more than seven hundred fifty  
1036 dollars in any one calendar year to such committee or for the benefit of  
1037 such committee pursuant to its authorization or request.  
1038 Notwithstanding this provision, and unless otherwise restricted or  
1039 prohibited by law, an individual may make unlimited contributions to  
1040 an independent expenditure political committee established by an  
1041 organization.

1042 Sec. 15. Subsection (d) of section 9-612 of the general statutes is  
1043 repealed and the following is substituted in lieu thereof (*Effective from*  
1044 *passage*):

1045 (d) Any individual may make unlimited contributions or  
1046 expenditures to aid or promote the success or defeat of any  
1047 referendum question, provided any individual who makes an  
1048 expenditure or expenditures in excess of one thousand dollars to  
1049 promote the success or defeat of any referendum question shall file  
1050 statements according to the same schedule and in the same manner as  
1051 is required of a treasurer of a political committee under section [9-608]  
1052 9-601d, as amended by this act.

1053 Sec. 16. Section 9-613 of the general statutes is repealed and the  
1054 following is substituted in lieu thereof (*Effective from passage*):

1055 (a) [No] Except as provided in subsection (g) of this section, a  
1056 business entity shall not make any contributions or expenditures (1) to,  
1057 or for the benefit of, any candidate's campaign (A) for election to any  
1058 public office or position subject to this chapter, or (B) for nomination at  
1059 a primary for any such office or position, or (2) to promote the defeat  
1060 of any candidate for any such office or position. No business entity  
1061 shall make any other contributions or [expenditures] engage in  
1062 coordinated spending, as described in section 9-601c, as amended by  
1063 this act, to promote the success or defeat of any political party. [, except  
1064 as provided in subsection (b) of this section. No] A business entity  
1065 shall not establish more than one political committee. A political  
1066 committee shall be deemed to have been established by a business  
1067 entity if the initial disbursement or contribution to the committee is  
1068 made under subsection (b) of this section or by an officer, director,  
1069 owner, limited or general partner or holder of stock constituting five  
1070 per cent or more of the total outstanding stock of any class of the  
1071 business entity.

1072 (b) A business entity may make reasonable and necessary transfers

1073 or disbursements to, or for the benefit of, a political committee  
1074 established by such business entity, for the administration of, or  
1075 solicitation of contributions to, such political committee. Nonmonetary  
1076 contributions by a business entity which are incidental in nature and  
1077 are directly attributable to the administration of such political  
1078 committee shall be exempt from the reporting requirements of this  
1079 chapter.

1080 (c) The provisions of this section shall not preclude a business entity  
1081 from making contributions or expenditures to promote the success or  
1082 defeat of a referendum question.

1083 (d) [A] Except as provided in subsection (g) of this section, a  
1084 political committee organized by a business entity shall not make a  
1085 contribution or contributions to, or for the benefit of, any candidate's  
1086 campaign for nomination at a primary or any candidate's campaign for  
1087 election to the office of: (1) Governor, in excess of five thousand  
1088 dollars; (2) Lieutenant Governor, Secretary of the State, Treasurer,  
1089 Comptroller or Attorney General, in excess of three thousand dollars;  
1090 (3) state senator, probate judge or chief executive officer of a town, city  
1091 or borough, in excess of one thousand five hundred dollars; (4) state  
1092 representative, in excess of seven hundred fifty dollars; or (5) any other  
1093 office of a municipality not included in subdivision (3) of this  
1094 subsection, in excess of three hundred seventy-five dollars. The limits  
1095 imposed by this subsection shall apply separately to primaries and  
1096 elections and contributions by any such committee to candidates  
1097 designated in this subsection shall not exceed one hundred thousand  
1098 dollars in the aggregate for any single election and primary  
1099 preliminary thereto. Contributions to such committees shall also be  
1100 subject to the provisions of section 9-618, as amended by this act, in the  
1101 case of committees formed for ongoing political activity or section 9-  
1102 619, as amended by this act, in the case of committees formed for a  
1103 single election or primary.

1104 (e) [No] Except as provided in subsection (g) of this section, a

1105 political committee organized by a business entity shall not make a  
1106 contribution or contributions to (1) a state central committee of a  
1107 political party, in excess of seven thousand five hundred dollars in any  
1108 calendar year, (2) a town committee of any political party, in excess of  
1109 one thousand five hundred dollars in any calendar year, (3) an  
1110 exploratory committee in excess of three hundred seventy-five dollars,  
1111 or (4) any other kind of political committee, in excess of two thousand  
1112 dollars in any calendar year, except that, unless otherwise restricted or  
1113 prohibited by law, a political committee established by a business  
1114 entity may make unlimited contributions to an independent  
1115 expenditure political committee.

1116 (f) As used in this subsection, "investment services" means  
1117 investment legal services, investment banking services, investment  
1118 advisory services, underwriting services, financial advisory services or  
1119 brokerage firm services. No political committee established by a firm  
1120 which provides investment services and to which the State Treasurer  
1121 pays compensation, expenses or fees or issues a contract shall make a  
1122 contribution to, or solicit contributions on behalf of, an exploratory  
1123 committee or candidate committee established by a candidate for  
1124 nomination or election to the office of State Treasurer during the term  
1125 of office of the State Treasurer who does business with such firm.

1126 (g) (1) Notwithstanding the provisions of this section, [a  
1127 corporation, cooperative association, limited partnership, professional  
1128 association, limited liability company or limited liability partnership,  
1129 whether formed in this state or any other, acting alone,] a business  
1130 entity may make independent expenditures and contributions to an  
1131 independent expenditure political committee.

1132 (2) An independent expenditure political committee, as defined in  
1133 section 2 of this act, organized by a business entity shall not make any  
1134 contribution unless such contribution is to another independent  
1135 expenditure political committee.

1136 Sec. 17. Section 9-614 of the general statutes is repealed and the  
1137 following is substituted in lieu thereof (*Effective from passage*):

1138 (a) An organization may make contributions, ~~[or] engage in~~  
1139 ~~coordinated spending, as described in section 9-601c, as amended by~~  
1140 ~~this act, and make~~ expenditures, other than [those made to promote]  
1141 ~~for the purpose of promoting~~ the success or defeat of a referendum  
1142 question, only by first forming its own political committee. [The]  
1143 ~~Unless such political committee is an independent expenditure~~  
1144 ~~political committee, the~~ political committee shall then be authorized to  
1145 ~~(1) receive funds (A) exclusively from the organization's treasury or~~  
1146 ~~from voluntary contributions made by its members, but not both, (B)~~  
1147 ~~from another political committee, or [,] (C) from a candidate committee~~  
1148 ~~distributing a surplus, and [(1) to] (2) make (A) contributions or~~  
1149 ~~expenditures to, or for the benefit of, a candidate's campaign or a~~  
1150 ~~political party, or [(2) to make] (B) contributions to another political~~  
1151 ~~committee. [No] An~~ organization shall ~~not~~ form more than one  
1152 political committee. A political committee shall be deemed to have  
1153 been established by an organization if the initial contribution to the  
1154 committee is made by the organization's treasury or an officer or  
1155 director of the organization.

1156 (b) A political committee established by an organization may elect  
1157 to alter the manner in which it is funded if it complies with the  
1158 requirements of this subsection. The committee chairperson shall  
1159 notify the repository with which the committee's most recent statement  
1160 of organization is filed, in writing, of the committee's intent to alter its  
1161 manner of funding. [Within] ~~Not later than~~ fifteen days after the date  
1162 of receipt of such notification, the treasurer of such political committee  
1163 shall return any funds remaining in the account of the committee to  
1164 the organization's treasury after payment of each outstanding liability.  
1165 [Within] ~~Not later than~~ seven days after the distribution and payments  
1166 have been made, the treasurer shall file a statement with the same  
1167 repository itemizing each such distribution and payment. Upon such  
1168 filing, the treasurer may receive voluntary contributions from any

1169 member of the organization which established such committee subject  
1170 to the limitations imposed in subsection (b) of section 9-612, as  
1171 amended by this act.

1172 (c) The chairperson of each political committee established by an  
1173 organization on or after July 1, 1985, shall designate the manner in  
1174 which the committee shall be funded in the committee's statement of  
1175 organization.

1176 (d) Notwithstanding the provisions of this section, an organization  
1177 [~~acting alone,~~] may make independent expenditures and  
1178 contributions to an independent expenditure political committee.

1179 Sec. 18. Section 9-615 of the general statutes is repealed and the  
1180 following is substituted in lieu thereof (*Effective from passage*):

1181 (a) [~~No~~] A political committee established by an organization shall  
1182 not make a contribution or contributions to, or for the benefit of, any  
1183 candidate's campaign for nomination at a primary or for election to the  
1184 office of: (1) Governor, in excess of five thousand dollars; (2)  
1185 Lieutenant Governor, Secretary of the State, Treasurer, Comptroller or  
1186 Attorney General, in excess of three thousand dollars; (3) chief  
1187 executive officer of a town, city or borough, in excess of one thousand  
1188 five hundred dollars; (4) state senator or probate judge, in excess of  
1189 one thousand five hundred dollars; (5) state representative, in excess of  
1190 seven hundred fifty dollars; or (6) any other office of a municipality  
1191 not previously included in this subsection, in excess of three hundred  
1192 seventy-five dollars.

1193 (b) [~~No~~] Any such committee shall not make a contribution or  
1194 contributions to, or for the benefit of, an exploratory committee, in  
1195 excess of three hundred seventy-five dollars. Any such committee may  
1196 make unlimited contributions to a political committee formed solely to  
1197 aid or promote the success or defeat of a referendum question.

1198 (c) The limits imposed by subsection (a) of this section shall apply

1199 separately to primaries and elections and no such committee shall  
1200 make contributions to the candidates designated in this section which  
1201 in the aggregate exceed fifty thousand dollars for any single election  
1202 and primary preliminary thereto.

1203 (d) [No] Except as provided in subsection (f) of this section, a  
1204 political committee established by an organization shall not make  
1205 contributions in any one calendar year to, or for the benefit of, (1) the  
1206 state central committee of a political party, in excess of seven thousand  
1207 five hundred dollars; (2) a town committee, in excess of one thousand  
1208 five hundred dollars; or (3) any political committee, other than an  
1209 exploratory committee or a committee formed solely to aid or promote  
1210 the success or defeat of a referendum question, in excess of two  
1211 thousand dollars, except that, unless otherwise restricted or prohibited  
1212 by law, a political committee established by an organization may make  
1213 unlimited contributions to an independent expenditure political  
1214 committee.

1215 (e) Contributions to a political committee established by an  
1216 organization for the purpose of making contributions and engaging in  
1217 coordinated spending shall be subject to the provisions of section 9-  
1218 618, as amended by this act, in the case of a committee formed for  
1219 ongoing political activity or section 9-619, as amended by this act, in  
1220 the case of a committee formed for a single election or primary.

1221 (f) An independent expenditure political committee, as defined in  
1222 section 2 of this act, established by an organization shall not make any  
1223 contribution unless such contribution is to another independent  
1224 expenditure political committee.

1225 Sec. 19. Subsection (a) of section 9-618 of the general statutes is  
1226 repealed and the following is substituted in lieu thereof (*Effective from*  
1227 *passage*):

1228 (a) (1) A political committee organized for ongoing political  
1229 activities may make unlimited contributions to, or for the benefit of,

1230 any national committee of a political party [;] or a committee of a  
1231 candidate for federal or out-of-state office. Except as provided in  
1232 subdivision (3) of subsection (d) of this section, no such political  
1233 committee shall make a contribution or contributions in excess of two  
1234 thousand dollars to another political committee in any calendar year.  
1235 No political committee organized for ongoing political activities shall  
1236 make a contribution in excess of three hundred seventy-five dollars to  
1237 an exploratory committee. If such an ongoing committee is established  
1238 by an organization or a business entity, its contributions shall be  
1239 subject to the limits imposed by sections 9-613 to 9-615, inclusive, as  
1240 amended by this act. A political committee organized for ongoing  
1241 political activities may make [contributions] donations to a charitable  
1242 organization which is a tax-exempt organization under Section  
1243 501(c)(3) of the Internal Revenue Code, as from time to time amended,  
1244 or make memorial [contributions] donations.

1245 (2) Unless otherwise restricted or prohibited by law, a political  
1246 committee organized for ongoing political activities may make  
1247 unlimited contributions to an independent expenditure political  
1248 committee, as defined in section 2 of this act. An independent  
1249 expenditure political committee organized for ongoing political  
1250 activities shall not make any contribution unless such contribution is to  
1251 another independent expenditure political committee. Unless  
1252 otherwise restricted or prohibited by law, an independent expenditure  
1253 political committee organized for ongoing political activities may  
1254 accept unlimited contributions from an entity.

1255 Sec. 20. Subsection (a) of section 9-619 of the general statutes is  
1256 repealed and the following is substituted in lieu thereof (*Effective from*  
1257 *passage*):

1258 (a) (1) No political committee established for a single primary or  
1259 election shall make contributions to a national committee, or a  
1260 committee of a candidate for federal or out-of-state office. If such a  
1261 political committee is established by an organization or a business



1262 entity, its contributions shall also be subject to the limitations imposed  
1263 by sections 9-613 to 9-615, inclusive, as amended by this act. Except as  
1264 provided in subdivision (2) of subsection (d) of this section, no political  
1265 committee formed for a single election or primary shall, with respect to  
1266 such election or primary, make a contribution or contributions in  
1267 excess of two thousand dollars to another political committee,  
1268 provided no such political committee shall make a contribution in  
1269 excess of three hundred seventy-five dollars to an exploratory  
1270 committee.

1271 (2) Unless otherwise restricted or prohibited by law, a political  
1272 committee established for a single primary or election may make  
1273 unlimited contributions to an independent expenditure political  
1274 committee, as defined in section 2 of this act. An independent  
1275 expenditure political committee shall not make any contribution unless  
1276 such contribution is to another independent expenditure political  
1277 committee. Unless otherwise restricted or prohibited by law, an  
1278 independent expenditure political committee established for a single  
1279 primary or election may accept unlimited contributions from an entity.

1280 Sec. 21. Section 9-620 of the general statutes is repealed and the  
1281 following is substituted in lieu thereof (*Effective from passage*):

1282 (a) A political committee formed solely to aid or promote the  
1283 success or defeat of a referendum question shall not make  
1284 contributions to, or for the benefit of, a party committee, a political  
1285 committee, a national committee, a committee of a candidate for  
1286 federal or out-of-state office or a candidate committee, except in the  
1287 distribution of a surplus, as provided in subsection (e) of section 9-608,  
1288 as amended by this act.

1289 (b) A political committee formed solely to aid or promote the  
1290 success or defeat of a referendum question shall not receive  
1291 contributions from a national committee or from a committee of a  
1292 candidate for federal or out-of-state office.

1293 (c) [No] A person, other than an individual or a committee, shall not  
1294 make a contribution to a political committee formed solely to aid or  
1295 promote the success or defeat of a referendum question, or to any  
1296 other person [,] to aid or promote the success or defeat of a referendum  
1297 question, in excess of ten cents for each individual residing in the state  
1298 or political subdivision thereof in which such referendum question is  
1299 to be voted upon, in accordance with the last federal decennial census.

1300 (d) Notwithstanding this section, an independent expenditure  
1301 political committee, as defined in section 2 of this act, formed solely to  
1302 aid or promote the success or defeat of a referendum question shall not  
1303 make any contribution unless such contribution is made to another  
1304 independent expenditure political committee. Unless otherwise  
1305 restricted or prohibited by law, an independent expenditure political  
1306 committee formed solely to aid or promote the success or defeat of a  
1307 referendum question may accept unlimited contributions from an  
1308 entity.

1309 Sec. 22. Subsection (d) of section 9-621 of the general statutes is  
1310 repealed and the following is substituted in lieu thereof (*Effective from*  
1311 *passage*):

1312 (d) The provisions of [subsections (a), (b) and (c) of] this section do  
1313 not apply to (1) any editorial, news story [,] or commentary published  
1314 in any newspaper, magazine or journal on its own behalf and upon its  
1315 own responsibility and for which it does not charge or receive any  
1316 compensation whatsoever, (2) any banner, (3) political paraphernalia  
1317 including pins, buttons, badges, emblems, hats, bumper stickers or  
1318 other similar materials, or (4) signs with a surface area of not more  
1319 than thirty-two square feet.

1320 Sec. 23. Subsections (i) and (j) of section 9-621 of the general statutes  
1321 are repealed and the following is substituted in lieu thereof (*Effective*  
1322 *from passage*):

1323 (i) In any [print, television or social media promotion of a slate of

1324 candidates] organization expenditure for a party candidate listing, as  
1325 defined in subparagraph (A) of subdivision (25) of section 9-601, by a  
1326 party committee, [the party] legislative caucus committee or legislative  
1327 leadership committee, any such committee shall use applicable  
1328 disclaimers pursuant to the provisions of this section for such  
1329 promotion, and no individual candidate disclaimers shall be required.

1330 (j) [(1) Except as provided in subdivisions (2) and (3) of this  
1331 subsection, if] If any person whose name is included on a disclaimer of  
1332 a communication pursuant to the provisions of this section, as a person  
1333 who made a covered transfer to the maker of the communication, is  
1334 also a recipient of a covered transfer, the maker of the communication,  
1335 as part of any report filed pursuant to section 9-601d associated with  
1336 the making of such communication, shall include the names of the five  
1337 persons who made the top five largest aggregate covered transfers to  
1338 such recipient during the twelve-month period immediately prior to  
1339 the primary or election, as applicable.

1340 [(2) The name of any person who made a covered transfer to a tax-  
1341 exempt organization recognized under Section 501(c)(4) of the Internal  
1342 Revenue Code of 1986, or any subsequent corresponding internal  
1343 revenue code of the United States, as amended from time to time, that  
1344 has not had its tax exempt status revoked, shall not be disclosed  
1345 pursuant to the provisions of subdivision (1) of this subsection.

1346 (3) The name of any person who made a covered transfer to a  
1347 person whose name is included on a disclaimer pursuant to the  
1348 provisions of this section shall not be disclosed pursuant to the  
1349 provisions of subdivision (1) of this subsection if the recipient of such  
1350 covered transfer accepts covered transfers from at least one hundred  
1351 different sources, provided no such source accounts for ten per cent or  
1352 more of the total amount of covered transfers accepted by the recipient  
1353 during the twelve-month period immediately prior to the primary or  
1354 election, as applicable.]

1355        Sec. 24. Subdivision (4) of subsection (a) of section 9-712 of the  
 1356        general statutes is repealed and the following is substituted in lieu  
 1357        thereof (*Effective from passage*):

1358        (4) Notwithstanding the provisions of this subsection, the  
 1359        statements required to be filed pursuant to subdivisions (1) and (2) of  
 1360        this subsection shall not be required to be filed by (A) a candidate  
 1361        committee of a candidate that is exempt from filing campaign finance  
 1362        statements pursuant to subsection (b) of section 9-608 unless or until  
 1363        such a candidate committee receives or expends an amount in excess  
 1364        of one thousand dollars for purposes of the primary or election for  
 1365        which such committee was formed, (B) a candidate committee of a  
 1366        candidate who is no longer eligible for a position on the ballot, or (C) a  
 1367        candidate committee of a participating or nonparticipating candidate  
 1368        that is unopposed, except that such candidate committee shall file a  
 1369        supplemental statement on the last Thursday before the applicable  
 1370        primary or general election. Such statement shall be complete as of  
 1371        eleven fifty-nine o'clock p.m. of the second day immediately preceding  
 1372        the required filing day. The statement shall cover a period beginning  
 1373        with the first day not included in the last filed statement.

This act shall take effect as follows and shall amend the following sections:		
Section 1	<i>from passage</i>	9-601(3)
Sec. 2	<i>from passage</i>	New section
Sec. 3	<i>from passage</i>	9-601a(a)
Sec. 4	<i>from passage</i>	9-601b(a) and (b)
Sec. 5	<i>from passage</i>	9-601c
Sec. 6	<i>from passage</i>	9-601d
Sec. 7	<i>from passage</i>	9-603(a) and (b)
Sec. 8	<i>from passage</i>	9-605(a) and (b)
Sec. 9	<i>from passage</i>	9-607(g)(1)
Sec. 10	<i>from passage</i>	9-608(a)(1)
Sec. 11	<i>from passage</i>	9-608(c)
Sec. 12	<i>from passage</i>	9-608(e)(1)(C)
Sec. 13	<i>from passage</i>	9-611(b) to (e)

Sec. 14	<i>from passage</i>	9-612(a) and (b)
Sec. 15	<i>from passage</i>	9-612(d)
Sec. 16	<i>from passage</i>	9-613
Sec. 17	<i>from passage</i>	9-614
Sec. 18	<i>from passage</i>	9-615
Sec. 19	<i>from passage</i>	9-618(a)
Sec. 20	<i>from passage</i>	9-619(a)
Sec. 21	<i>from passage</i>	9-620
Sec. 22	<i>from passage</i>	9-621(d)
Sec. 23	<i>from passage</i>	9-621(i) and (j)
Sec. 24	<i>from passage</i>	9-712(a)(4)

***Statement of Purpose:***

To (1) create bright lines between coordinated and independent spending, (2) implement federal court rulings regarding the creation of independent expenditure political committees, (3) increase disclosure of independent expenditures, and (4) reveal persons behind political committees and contributors to such committees.

*[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]*